UC Roadmaps to OA

Surveying the Pathways Forward
A discussion of roadmaps that begins with a map
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If your publisher has requested a waiver or embargo of UC’s open access policies, get it here.

Background

Read the Policies
Read the full text of UC’s open access policies.

Policy History
Find older versions of the policies, press releases, and related documents.

Policy Implementation
Learn about the publication management system developed to make it as easy as possible for authors to share their publications.

Publishers Contacted
UC has contacted hundreds of publishers. See which ones are complying with UC’s policies and which ones are requesting that authors opt out.
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Open Book Publishers
Signing the OA2020 Expression of Interest
Collected statements from U.S. signatory campuses

Home

Statements on open access 2020 by U.S. Signatory Campuses
Considering OA2020 at your institution?

Below are resources that U.S. signatory campuses have prepared that may be of assistance in debating or pursuing signing on to OA2020 in your own institution.

Sample roadmap for U.S. institutions

The preliminary OA2020 UC campus roadmap, prepared jointly by the libraries at signatory UC campuses, is a non-binding, non-prescriptive framework that can be used and modified to help guide signatory campuses’ implementation of the OA2020 Expression of Interest.
Formation of a working group...
To write a Roadmap to OA that outlines OA approaches (green, gold, red, etc.) and distinct strategies that the UC Libraries might pursue.
The Roadmap should include requirements for accomplishing each strategy, challenges and opportunities and/or risks and rewards, and possible next steps for the UC Libraries (e.g. pilots, experiments, etc.)
# Roadmap to OA

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Analysis

● Nature of approach
● Prevalence and impact
● Strategies to achieve (including challenges and opportunities)
● Systemic challenges
● Systemic opportunities
Gold OA - APC-based
1. APCs are paid on authors’ behalf through a fund pool. Three iterations:

   a. Universities or libraries offer subvention funds (e.g. BRII at UCB).

   b. Libraries or consortia engage in aggregated institutional memberships that pay APCs in full for authors (e.g. Hindawi, PeerJ).

   c. Funders pool resources for awardees (e.g. RCUK, Wellcome Trust, Gates Foundation).
1. Pooled APC Funds:

i. Subvention funds: Currently too small to replace paywalled content.

ii. Aggregated institutional memberships: Constraints on libraries’ ability to dedicate sufficient funds on a publisher-by-publisher basis.

iii. Centralized funder pool: Need for infrastructure to support centralized APC payment, risk of authors being dissociated w/ publishing costs, potential to further double-dipping through payments to hybrid journals.
1. Pooled APC Funds:

i. Subvention funds: no financial risk for authors; engagement with scholars; experiment w/ centralized funding for APCs or BPCs, identify stakeholders, workflows, and issues.

ii. Aggregated institutional memberships: good ROI if a significant # of institution-affiliated articles are published in a given journal or publisher that year.

iii. Centralized funder pools: shielding authors from administrative tasks related to APC payment; placing funding agencies at the center of responsibility.
Possible Next Steps

1. Negotiate offsetting agreements, and/or decline renewals of licenses with publishers who do not engage in offsetting.

2. Identify and engage with disciplines for flipping their journals to OA.

3. Expand and streamline application of campus OA funds to cover APCs.
Next Steps

Campus map-making
Take Aways

“Self”-Reflection
Collective Action