Open Access Funds - What Difference do they Make?

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Today’s presenters

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OA Funds by the #s

SPARC: 63

COPE: 20 + 33 “compatible” funds

Open Access Directory : 200 internationally
Origins of UCSF & UCB Funds
Fund Administration
## UCB’s and UCSF’s Funds

### Budgets

**UCB:**
- 2008-2015: ~$45-$60K
- 2016-2017: ~$60-$90K

**UCSF:**
- 2013 & 2014: $20,000
- 2015 & 2016: $45,000
- 2017: $100,000

### Who is Covered

**UCB:**
- Current UC Berkeley faculty, post-doc, academic staff, or grad student w/o funds

**UCSF:**
- 2013-2014: anyone
- 2015-present: faculty

### What is Covered

**UCB:**
- OA articles up to $2500
- OA books up to $7500
- No hybrids (since 2014)

**UCSF:**
- OA articles up to $2,000
- OA books up to $5000
- No hybrids (since 2016)
### Who has applied

**UCB:**
- 307 approv’d & reimb.
- 243 unique auths, 55 depts
- 135 unique journals, 51 publishers

**UCSF:**
- 100 unique authors, 42 departments

### Articles to Date

**UCB:**
- 2008-2015: 253 articles
- 2016-Jul. 2017: 54 articles

**UCSF:**
- Total: 108 (9 hybrid)
- 2013-2014: 26 articles
- 2015-2016: 46
- 2017: 36 to date

### Average APC

**UCB:**
- $1510 ($453K spent/307 articles)

**UCSF:**
- $1257 - 2013/14
- $1631 - 2015/16
- $1668 - 2017
Top Journals by # of articles

- PLOS ONE: 21 articles
- Cell Reports: 5
- Journal of Medical Internet Research: 4
- J. International AIDS Society: 4
- BMC Public Health: 3

66 unique journals/108 articles

Top Publishers

- PLOS: 24 articles
- BioMed Central: 18
- Elsevier/Cell Press: 13
- Co-Action Publishing: 8
- JMIR Publications: 6
- Hindawi: 5
- Wiley: 5

23 unique publishers/108 articles
Marketing & Outreach
UCSF (preliminary) Survey Results

How did you find out about the Fund?

- Library newsletter
- Library website
- Kiosk on campus
- Department chair
- Colleague/word of mouth
- Other
The goals of this program are to:

- **Foster greater dissemination** of the work of UCSF scholars.
- Encourage **author control of copyright** by publishing under a [CC BY](https://creativecommons.org/licenses/by/) license.
- Provide opportunities for faculty, other scholars, and librarians to **engage in discussion** about open access publishing models and wider scholarly communication topics.
- Support the efforts of UCSF to promote **new and transformative open access publishing in lieu of subscription journals**, in alignment with the OA2020 Initiative, of which UCSF is a [signatory](https://oa2020.org/).
PUBLISH OPEN ACCESS AND MAKE AN impact
The Library’s Berkeley Research Impact Initiative (BRII) provides funding to UC Berkeley authors to make their scholarly publications Open Access and free to readers. [lib.berkeley.edu/scholcomm](http://lib.berkeley.edu/scholcomm)

We will reimburse your Open Access publishing fees

“BRII helped me make access to my research affordable and it wiped away a lot of barriers.”

Rachel Morello-Frosch
UC Berkeley Professor of Public Health and Environmental Science, Policy, and Management

Find out more and apply: [http://guides.lib.berkeley.edu/brii](http://guides.lib.berkeley.edu/brii)
Save the Date!

BRILL & Brie Author Recognition Event

5:00-6:30 p.m.
Wed., Feb. 22, 2017
Morrison Library
RSVP by January 20, 2017

to have your BRILL-funded work displayed.

Program:
- Hear remarks from University Librarian Jeff MacKlin-Mason, as well as
  BRILL-funded faculty members, who will be discussing their scholarship,
  and the impact of BRILL and open access.
- Join a lightning round of introductions
  for attending BRILL recipients.
- Have your BRILL-funded work displayed
  for others to read, see, and discuss.

Join faculty, researchers, and colleagues for wine and cheese as the Library recognizes your
open access publications funded by BRILL Berkeley Research Impact Initiative (BRILL) is the
library-sponsored program that provides funding to Berkeley authors and publishers who wish
to make their publications free to all readers immediately upon publication.

Come learn about the scholarship of BRILL recipients in an event to celebrate your work!

Enjoy wine, cheese (brie, of course), fruit, and more.

RSVP HERE
Challenges & Opportunities
Challenges

Insufficient funds

Absent a universe in which subvention funding could entirely replace subscription spend, there’s unlikely to be enough subvention $ available.

Funder Stream

Not all research is funded, placing greater burden on libraries to cover rising costs.

Disciplinary limitations

Fewer fully OA publishing opportunities in HSS -- disadvantaging certain disciplines.
More Challenges

First come, first served

Lost momentum with stopping/restarting funds
Trustworthiness is lowered with community that relies on funding

Administering

Application process
Offering reimbursement rather than up front payment
Awardee and payment tracking
Listing funded articles

Reaching those in need

Ongoing marketing and outreach is imperative
Opportunities

Part of multifaceted roadmap
Coordinate multiple approaches to supporting open access

Connect with community
Interact with researchers to gain insight into and provide support in publishing choices

Build into publishing program
Integrate into scholarly communication support program, including helping researchers maximize impact
The Future of OA Funds
**OA Fund Futures**

**Reduce subscription spend**
As offsetting deals negotiated, more of the OA funds can be used to cover remaining APCs so that we truly replace subscriptions.

**Exploring multiple models**
Offsetting + APCs only part of the picture. Pursue multiple funding models, such as memberships or cooperatives.

**Author community engagement**
Working with authors to best understand needs, and help transition their disciplines to OA. Increasing departmental support for publishing funds?
Did the availability of the OA Fund affect your decision about where to publish?

How many OA articles had you published prior to the one that was funded?
How have you previously paid for APCs?
How should OA publication costs be covered?

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Questions?

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